

COPY OF PAPERS
ORIGINALLY FILED

#5
147
Gp/21716-18-02

Attorney Docket No. VRTY-003/00US

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on MAY 20, 2002.

By:

Dolores McKay

Dolores McKay

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
JUN 10 2002
Technology Center 2100

In re application of Alpha Kamchiu LUK

Serial No.: 09/940,365

Examiner: Not assigned

Confirmation No.: 5055

Art Unit: 2171

Filed: August 27, 2001

For: **METHOD AND APPARATUS FOR DETERMINING CLASSIFIER
FEATURES WITH MINIMAL SUPERVISION**

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$___ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: May 17, 2002

Cooley Godward LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663
WSG/dm

Respectfully submitted,
COOLEY GODWARD LLP

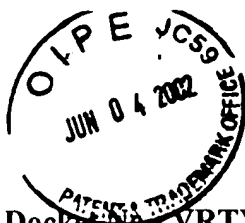

Technology Center 2100

By: _____

William S. Galliani
Reg. No. 33,885

RECEIVED

JUN 10 2002



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No.: VRTY-003/00US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Alpha Kamchiu LUK

Serial No.: 09/940,365

Examiner: Not assigned

Confirmation No.: 5055

Art Unit: 2171

Filed: August 27, 2001

For: **METHOD AND APPARATUS FOR DETERMINING CLASSIFIER
FEATURES WITH MINIMAL SUPERVISION**

RECEIVED
JUN 10 2002
Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. ___.

This Information Disclosure Statement is filed within any one of the following time periods:

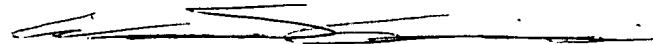
- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: May 17, 2002

Cooley Godward LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663
WSG/dm

Respectfully submitted,
COOLEY GODWARD LLP



By: _____

William S. Galliani
Reg. No. 33,885

Please type a plus sign (+) inside this box →



**COPY OF PAPERS
ORIGINALLY FILED**

PTO/SB/08A (08-00)

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/940,365
Sheet 1 of 1		Filing Date	August 27, 2001	RECEIVED JUN 10 2002 Technology Center 2100	
		First Named Inventor	Alpha Kamchiu LUK, et al.		
		Group Art Unit	2171		
		Examiner Name	Not Assigned		
		Attorney Docket Number	VRTY-003/00US		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	P1	6,182,058		Kohavi	01-30-2001

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office	Number	Kind Code (if known)		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	D1	David W. AHA, et al. "Instance-Based Learning Algorithms," <i>Machine Learning</i> , Vol. 6, pp 37-66, 1991, © 1991 Kluwer Academic Publishers, Boston.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.